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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

PRELIMINARY TRANSMITTAL		Docket Number 11957/62		
Application Number 10/765,236	Filing Date January 26, 2004	Examiner To be assigned	Art Unit 1614	
Invention Title COMPOSITION AND METHOD FOR LOWERING RISK FACTORS OF CARDIOVASCULAR DISEAS		Inventor(s) Matthias RATH		

Address to:

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

31 78 20

Reg. No. 52,162

Signature:

John F. Resek

Transmitted herewith is a Preliminary Amendment for entry in the above-identified application:

1. The filing fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSL Y PAID FOR	PRESENT NUMBER EXTRA	RATE (\$)	FEE (\$)
TOTAL CLAIMS	32	minus	27	5	18.00	90.00
INDEPENDENT CLAIMS	5	minus	4	1	86.00	86.00
MULTIPLE DEPENDENT CLAIM ADDED					145.00	
				TOTAL		\$176.00

2. Please charge the required <u>small-entity additional claims fee</u> of <u>\$176.00</u> to the deposit account of **Kenyon & Kenyon**, deposit account number 11-0600.

- 3. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number 11-0600:
 - A. Any additional filing fees required under 37 C.F.R. § 1.16;
 - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
 - C. Any additional document supply fees under 37 C.F.R. § 1.19;
 - D. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
 - E. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
- 4. A duplicate copy of this letter is enclosed.

Dated: 51, 28,2004

John F. Resek

Reg. No. 52,162

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Attorney Docket: 11957/62

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Mattias RATH

Art Unit:

1614

Serial No.:

10/765,236

Examiner:

Not yet Assigned

Filing Date:

January 26, 2004

For:

COMPOSITIONS AND METHODS FOR LOWERING PLASMA LIPOPROTEIN (a) AND RISK FACTORS OF CARDIOVASCULAR DISEASE

Mail Stop Amendment Commissioner for Patents P.O. BOX 1450

Alexandria, VA 22313-1450

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

SIR:

Applicant submits herewith the Preliminary Amendment for the above-identified application. Allowance of all pending claims is hereby respectfully requested.

Prior to examination, please amend the above-identified divisional application as set forth below:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 5 of this paper.

08/03/2004 MBELETE1 00000033 110600 10765236

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02 FC:1202

90.00 DA